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10-16-01

PATENT  
RD-284080

## IN THE UNITED STATES OFFICE OF PATENTS AND TRADEMARKS

Applicant: Keyes et al.

Serial Number: 09/871,341

Filed: May 31, 2001

For: METHODS AND SYSTEMS FOR  
PORTFOLIO CASH FLOW  
VALUATION

Art Unit:

Examiner: Not Assigned

RESPONSE TO NOTICE TO FILE MISSING PARTSAttention: Box Missing Parts  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

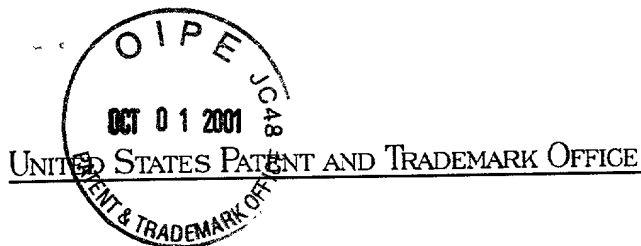
In response to the Notice to File Missing Parts of Non-Provisional Application dated August 1, 2001, enclosed are fourteen (14) sheets of drawings, together with Part 2 of the Notice.

The surcharge of \$130.00 was charged to Deposit Account Number 01-2384 by transmission of the Response to Missing Parts filed by Applicants on September 6, 2001, which included the Declarations and Powers of Attorney of Applicants.

Respectfully submitted,

Bruce T. Atkins, Reg. No. 43,476  
ARMSTRONG TEASDALE  
One Metropolitan Square, Suite 2600  
St. Louis, Missouri 63102-2740  
(314) 621-5070

09871341-100101



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D C 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/871,341	05/31/2001	Tim K Keyes	RD-28408

CONFIRMATION NO. 9578

## FORMALITIES LETTER



\*OC000000006371638\*

John S. Beulick  
Armstrong Teasdale LLP  
Suite 2600  
One Metropolitan Sq.  
St. Louis, MO 63102

Date Mailed: 08/01/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

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ENTERED  
Date: 8-6-01  
By: lam

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